OFFICE OF INTERNAL AUDIT

FY24 Audit Plan

(Draft for Approval)

This document is intended for print purposes. If you need this in another format, please contact Kelly Mintern at 910-962-3088 or minternk@uncw.edu.

UNC Wilmington
Office of Internal Audit
Audit Plan - DRAFT FOR APPROVAL
Fiscal Year 2024

Audits*

Operational/Compliance	DIVISION {a}	BUDGETED HOURS
University Police Department (UPD)	BA	500
Athletics	CH	350
ERM Maturity Assessment	BA	200
Disability Resource Center	SA	400
Campus Safety	All	300

Financial

Friends of UNCW Financial Audit	BA	70

Information System

IT Change Management	IT	200
Disaster recovery planning	IT	200

Audit Follow Ups

	All	250

Consultations

Advisement and support for two new colleges (College of Humanities, Social Sciences, and the Arts (CHSSA); and College of Science and Engineering (CSE)	AA	200
Routine and Unplanned Consultations	All	250
Committee Assignments	All	250
Campus Engagement	All	200

Complete Prior Year Work

Housing	SA	500

Other/Special Projects

Quality Assurance Activities - External Quality Assurance Review improvements - QAIP workpaper reviews - Internal Audit Self Assessment, including assessment and implementation/update of practices for new Global Internal Audit Standards	IA	400
Council Reporting Requirements (SAMM, Attestation, Annual Report, KPI)	IA	60
External peer review project team lead for North Carolina A&T (NC OSBM Peer Review program) *Notes: Review due June 2024*	IA	250
Other Routine Tasks (i.e. Reporting, Audit Team Collaboration, IIA/UNCAA)	IA	350
Risk Assessment/Audit Planning	IA	250
Campus Training	IA	100
Data Analytics Enhancements	IA	100
Compliance Assurance Mapping	IA	120

Investigations

General Investigations	All	400

Total Audit Plan Hours	**5,900**
Budgeted Audit Hours Available {b}	6,100
Hours Available for Other Projects	**200**

*Audits are listed based on the category of primary focus. Most audits will have aspects of more than one category.

Notes:

{a}   Divisions:
AA = Academic Affairs
BA = Business Affairs
CH = Chancellor's Division
IA = Internal Audit (Note: IA is a department, not a division.)
IT = Information Technology (Note: May relate to IT in individual departments)
SA = Student Affairs
UA = University Advancement

{b}   Budgeted audit hours based on having all Internal Audit positions filled throughout FY24; pending recruitment finalized and candidate onboarded by November 2023.